

# Diagnosing covert syntax: Lessons from *wh*-in-situ

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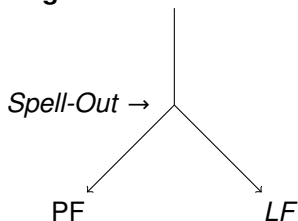
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# The question

- ▶ Consider Syntax and its interfaces:

## (1) The Y-model of grammar:



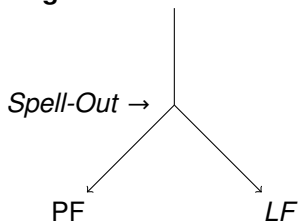
## Two big-picture questions:

- 1 What causes LF/PF mismatches and how are they constrained?
- 2 How does this vary cross-linguistically?

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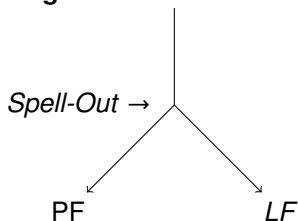
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Two LF/PF mismatches:

(2) **A scope ambiguity:**

Some student read every book.

$\exists > \forall, \forall > \exists$

a. some student every book read \_\_\_\_.

$\exists > \forall$

↑-----↓

b. every book some student read \_\_\_\_.

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► Resolved through (covert) **Quantifier Raising** (May 1977, 1985).

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In *wh*-questions, (phonologically) in-situ *wh*-phrases also appear to take wide scope, at the left edge of the clause:

(3) ***Wh*-in-situ in a Japanese question:**

Hanako-ga *nani-o* kai-mashi-ta-ka?

Hanako-NOM what-ACC buy-POLITE-PAST-Q

'What did Hanako buy?'

↪ what *x* is such that Hanako bought *x*?

(4) *Wh*-in-situ in an English multiple *wh*-question:

Who did Mary introduce to whom?

↪ what *x, y* are such that Mary introduced *x* to *y*?

► How are in-situ *wh*-phrases interpreted?



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## Two approaches to *wh*-in-situ

### The covert movement approach:

*Wh*-phrases **must move to C** by LF for interpretability (Karttunen 1977, Huang 1982, among others).

(5) LF: *Who whom* C did Mary introduce \_\_\_\_\_ to \_\_\_\_\_ ?  


### The in-situ approach:


*Wh*-phrases **are interpreted in their base positions**, without requiring movement (Hamblin 1973, Kratzer and Shimoyama 2002, among others).

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## Two approaches to *wh*-in-situ

Spoiler alert!

I will argue that both approaches are sort of correct — we need both in-situ composition (focus alternatives) *and* movement to derive *wh*-questions.

- ▶ But the movement we get is **not** what you think it will be.

## ► How can we tell if covert *wh*-movement happened?

- 1 Intervention effects (Kotek 2017b, in prep.)
- 2 Island effects (Kotek 2016a)
- 3 Processing signature (Kotek 2014, 2019)

( Antecedent Contained Deletion licensing (Pesetsky 2000),  
Parasitic Gap licensing (Nissenbaum 2000) )

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# Wh-in-situ and intervention effects

- ▶ *Wh*-in-situ is sensitive to **intervention effects**.

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'What did everyone buy?'

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**Intervention effects affect *wh*-phrases that are truly in-situ at LF**  
but not ones that have undergone (overt or covert) movement  
(Beck 2006, Beck and Kim 2006, Kotek 2014, Kotek and Erlewine 2016).

(8) **Beck (2006) intervention schema:**

- a. ✓ [CP C ... *wh* ]  

- b. \* [CP C ... **intervener** ... *wh* ]  

- c. ✓ [CP C ... *wh* **intervener** ... *t* ]  


# What's an intervener?

► **Two related unresolved questions:**

① What counts as an intervener?

(9) *Subete* 'all' is not an intervener (cf 7a):

✓ [Subete-no hito]-ga nani-o kai-mashi-ta-ka?  
all-GEN person-NOM what-ACC buy-POLITE-PAST-Q  
'What did everyone buy?'

② What causes intervention?

- Focus (Kim 2002, Beck 2006, Beck and Kim 2006)
- Quantification (Beck 1996, Mayr 2014)
- Anti-topichood (Grohmann 2006)
- Prosodic mismatch (Tomioka 2007, Branagan 2018)
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- 1 The problem is with **movement** into a position between *wh* and C at LF.
  - ▶ Explained as a semantic problem with *predicate abstraction* over *focus alternatives* (see Appendix).

(10) **Intervention schema (Kotek 2017b, in prep.):**

\* LF: [<sub>CP</sub> C ... DP  $\lambda x$  ... *wh* ... x ]



- 2 Covert *wh*-movement is not 'regular' probe-driven movement, but rather **covert scrambling**.
- 3 Many consequences for the grammar:
  - Probing and movement
  - Overt vs covert structure building
  - Cross-linguistic variation and language acquisition

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- §1 Introduction
- §2 Intervention effects in Japanese
- §3 Intervention effects in English
- §4 Covert movement and islands
- §5 The bigger picture: Implications for grammar

§1 Introduction

## §2 **Intervention effects in Japanese**

- Intervention tracks scope-rigidity in Japanese (Erlewine and Kotek 2018)
- Analysis following Kotek 2017b
- Some predictions

§3 Intervention effects in English

§4 Covert movement and islands

§5 The bigger picture: Implications for grammar

# Wh-in-situ and intervention effects

- ▶ Recall: *Wh*-in-situ is sensitive to **intervention effects**.

(3) Hanako-ga *nani-o* kai-mashi-ta-ka?  
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'What did everyone buy?' (Hoji 1985:270)

- ▶ What counts as an intervener? What causes intervention?



# Shibata's correlation

Quantifiers in Japanese vary in their ability to take scope below negation:

- Q > Neg only  $\rightsquigarrow$  scope rigid
  - Q > Neg or Neg > Q  $\rightsquigarrow$  not scope rigid
- Shibata (2015a) notes that the scope of different disjunctors correlates with their status as interveners.

# Shibata's correlation

Two disjunctors in Japanese, *ka* and *naishi*: (from Shibata 2015a)

(11) ***ka*-disjunction is scope-rigid; *naishi* is not:**

a. [Taro **ka** Jiro]-ga ko-**nak**-atta.

Taro or Jiro-NOM come-NEG-PAST

'Taro or Jiro didn't come.'

✓or > not, \*not > or

b. [Taro **naishi** Jiro]-ga ko-**nak**-atta.

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(12) ***ka*-disjunction is an intervener; *naishi* is not:**

a. ??? [Taro **ka** Jiro]-ga *nani*-o yon-da-no?

Taro or Jiro-NOM *what*-ACC read-PAST-Q

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'What did [Taro or Jiro] read?'

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# Intervention tracks scope-rigidity

- ▶ Shibata's correlation extends to other quantificational DPs:

- (13) **Generalization: Intervention correlates with scope-taking**  
Scope-rigid DP quantifiers above an in-situ *wh*-phrase cause intervention. DP quantifiers that allow scope ambiguities—i.e., those that can reconstruct below the *wh*-phrase—do not.  
(Erlewine and Kotek 2018)

- ▶ Explained by and supports Kotek's (2017b) theory of intervention, (10).

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The diagram illustrates the intervention schema. It shows a sequence of elements in brackets: [CP C ... DP  $\lambda x$  ... *wh* ... x ]. A wavy arrow originates from the DP  $\lambda x$  and points left towards the *wh* phrase. A red line originates from the DP  $\lambda x$  and points right towards the variable x, indicating a reconstruction path.

- (14) ***wh-mo* universal quantifier is scope-rigid; *subete* is not:**
- a. [**Dono** mondai]-o-**mo** toka-**nak**-atta.  
every problem-ACC-MO solve-NEG-PAST  
'*pro* did not solve every problem.' ✓ every > not, \*not > every
- b. [**Subete**-no mondai]-o toka-**nak**-atta. (Mogi 2000:59)  
all-GEN problem-ACC solve-NEG-PAST  
'*pro* did not solve every problem.' ✓every > not, ✓not > every

(15) ***wh-mo* is an intervener; *subete* is not:** = (7a)

a. ?? **Da're-mo-ga** *nani-o* *kai-mashi-ta-ka?*  
every-MO-NOM what-ACC buy-POLITE-PAST-Q  
Intended: 'What did everyone buy?' (Hoji 1985:270)

b. ✓ [**Subete**-no hito]-ga *nani-o* *kai-mashi-ta-ka?*  
all-GEN person-NOM what-ACC buy-POLITE-PAST-Q  
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## Two positions for *-dake* 'only'

(27) **-P-dake is scope-rigid; -dake-P is not:**

- a. Taro-wa Hanako-to-**dake** hanashi-tei-**nai**.  
Taro-TOP Hanako-with-only talk-PERF-NEG

lit. 'T. hasn't talked only with H.'    ✓only > not, \*not > only

- b. Taro-wa Hanako-**dake**-to hanashi-tei-**nai**.  
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- b. ✓ Taro-wa Hanako-**dake**-to nani-o tabe-ta-no?  
Taro-TOP Hanako-only-with what-ACC eat-PAST-Q  
‘What did Taro eat (only) with (only) Hanako?’

# Summary

	disjunction		universal		also	even	NPI
	<i>ka</i>	<i>naishi</i>	<i>wh-mo</i>	<i>subete</i>	<i>-mo</i>	<i>-sae</i>	<i>wh-mo</i>
<i>scope-rigid?</i>	○ (11a)	× (11b)	○ (14a)	× (14b)	○ (16)	○ (16)	○*
<i>intervener?</i>	○ (12a)	× (12b)	○ (15a)	× (15b)	○ (17)	○ (18)	○ (19)

	NPI only	indefinite		modified	only	
	<i>-shika</i>	<i>wh-ka</i>	<i>suu-CL</i>	numerals	<i>-P-dake</i>	<i>-dake-P</i>
<i>scope-rigid?</i>	○*	○ (21)	× (23)	× (25)	○ (27a)	× (27b)
<i>intervener?</i>	○ (20)	○ (22)	× (24)	× (26)	○ (28a)	× (28b)

\* See Kataoka 2006; Shimoyama 2011 on the rigid wide scope of so-called “NPIs.”

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# Proposal

- 1 All arguments evacuate vP in Japanese (Shibata 2015a,b), moving out of NegP (if present).
- 2 Some (but not all) quantifiers can reconstruct into base positions.
- 3 Intervention reflects the uninterpretability of (10) at LF:

(10) **Kotek (2017b) intervention schema** (repeated)

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# Shibata on Japanese quantifier scope

- (29) a. All arguments move out of vP:

[<sub>CP</sub> ... DP ... [<sub>vP</sub> ... *t* ... V ] ]

- b. Interpretation in surface position  $\Rightarrow$  wide scope over Neg:

LF: [<sub>CP</sub> ... DP  $\lambda x$  ... [<sub>NegP</sub> [<sub>vP</sub> ... *x* ... V ] Neg ] ] DP > Neg

- c. Some (not all) quant. reconstruct into vP  $\Rightarrow$  narrow scope:

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(30) a. Potential intervener (DP) above *wh*:

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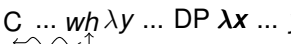
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- ▶ A “non-intervening” quantifier must be reconstructed in  $vP$ .

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Taro-TOP Hanako-only-with what-ACC eat-NEG-Q

- a. \* ‘What does Taro only not eat with Hanako<sub>F</sub>?’      only > not  
Answer: Squid ink pasta (because he gets embarrassed)
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## Base-generated quantifiers

- ▶ Quantifiers that are base-generated high and can be interpreted in their base positions are not interveners.

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'What does Taro eat only on Tuesdays?'

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# Interim summary

► **Recall our two questions:**

1 What counts as an intervener?

A: **Anything that takes scope in a derived position at LF.**

2 What causes intervention?

A: An incompatibility between predicate abstraction and focus-alternatives computation (see Appendix).

(10) **Kotek (2017b) intervention schema** (repeated)

\* LF: [CP C ... DP  $\lambda x$  ... *wh* ... **x** ]



► **Two ways of evading the intervention configuration:**

• Reconstruct quantifier below *wh*

*covert*

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§1 Introduction

§2 Intervention effects in Japanese

**§3 Intervention effects in English (Kotek 2017a,b,c, in prep.)**

- Intervention in English rescued via covert movement
- Intervention effects and reconstruction

§4 Covert movement and islands

§5 The bigger picture: Implications for grammar

# Intervention in *wh*-movement languages

Intervention also affects *wh*-movement languages like English and German. Here, we must consider **multiple *wh*-questions**.

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a. *Wer* hat Luise *wo* angetroffen?  
who has Luise where met  
'Who met Luise where'?

b. \* *Wer* hat **niemanden** *wo* angetroffen?  
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In English, intervention appears to track **superiority** (Pesetsky 2000):

- ▶ Superiority-violating questions are susceptible to intervention effects; superiority-obeying ones are immune to these effects.

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## A note on judgments

**Note:** for many (perhaps all) speakers, intervention is diagnosed by the loss of the **pair-list** reading of the question. A single-pair reading may survive.

(36) *Who ate what?*

a. Fred ate the beans.

*single-pair*

b. Fred ate the beans, Mary ate the eggplant,  
and Sue ate the broccoli.

*pair-list*

( This has been reported for German and for superiority-violating questions in English in footnotes in previous work (Beck 2006, Pesetsky 2000; see also Beck 1996). See discussion in Kotek 2014. )

## Background: intervention effects in English

- ▶ Superiority-obeying and violating questions differ in their available LFs. This follows from mechanisms of probing (Pesetsky 2000):

**Superiority-obeying Qs:** *Wh*-in-situ covertly moves to C at LF.

(37) LF: [<sub>CP</sub> *Which student which book* C [<sub>TP</sub> \_\_\_\_\_ read \_\_\_\_\_]]?

~> Predict: no intervention

**Superiority-violating questions:** *Wh* is truly LF-in-situ.

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- 1 *Wh*-in-situ is computed using focus alternatives (  )
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# No intervention if *wh* scopes above intervener

- ▶ Intervention rescued via exceptional (non-interrogative) movement of an otherwise in-situ *wh*-phrase:

**Right-Node Raising** can feed exceptional wide scope of a *wh* that is otherwise unavailable in questions (Bachrach and Katzir 2009, a.o):

- (41) a. \* *Which book* did John meet the man who wrote \_\_\_\_ ?  
b. ✓ *Which book* did [John meet the man who wrote], and [Mary meet the man who published] \_\_\_\_ ?

## No intervention when *wh* scopes above intervener

This exceptional wide scope in RNR is also able to rescue intervention effects in superiority-violating questions:

- (42) a. \* *Which book* did **only Mary** allow *which student* to read \_\_\_\_\_?
- b. ✓ *Which book* did [**only Mary** allow], and [**only Sue** require], *which student* to read \_\_\_\_\_?

( Other means of scope extension include Extraposition; see )  
Branan 2017.

# Intervention and superiority: Binding

- ▶ Conversely, intervention is predicted if covert *wh*-movement is unavailable in a superiority-obeying question.

Using **binding** to restrict covert movement: bindee cannot move out of the scope of a binder.

(43) **Baselines, with binders underlined:**

- a. *Which daughter showed Obama which picture of herself?*
- b. *Which daughter showed Obama which picture of himself?*

(44) **Target sentences, with intervener and binder underlined:**

- a. *?Which daughter showed **only** Obama which picture of herself?*
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Instead, intervention correlates with available movement possibilities for intervener and *wh* at LF.
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§1 Introduction

§2 Intervention effects in Japanese

§3 Intervention effects in English

**§4 Covert movement and islands (Kotek 2016a)**

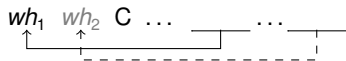
- Covert *wh*-movement as covert scrambling
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§5 The bigger picture: Implications for grammar

# Two approaches to *wh*-in-situ

Recall: Two strategies for interpreting *wh*-in-situ at LF

(45) **Covert movement:**



(46) **Wh-in-situ:**



Kotek (2014, 2016a, 2019): covert movement in English superiority-obeying questions is a form of **covert scrambling**.

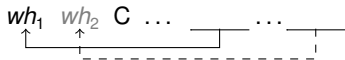
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# Intervention effects in English

This covert movement operation is the parallel of an observable **overt scrambling** step in German questions — *covert* scrambling.

(48) **Obligatory overt short *wh*-scrambling in German:**

- a. *Wer* hat denn (das Buch) gestern (das Buch) gelesen?  
who has *denn* (the book) yesterday (the book) read  
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# Multiple questions with islands

Baseline: Multiple *wh*-questions with islands are grammatical.

(49) Context: The linguists at the conference are very picky about attending the conference dinner. However, each of them adores one philosopher and will certainly attend the dinner if that philosopher is invited. What I want to know is:

Q: *Which* linguist will come [if we invite *which* philosopher]?

A: ✓ Pair-list answer:

Chomsky will come if we invite Quine,

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Add interveners: here, **only**.

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§1 Introduction

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**§5 The bigger picture: Implications for grammar**

**(Kotek 2014, 2016b, 2017b, 2019, in prep.)**

- Probing and movement
- Overt vs covert movement
- Successive-cyclic movement and reconstruction
- Cross-linguistic variation and language acquisition
- Scope-taking operations and their limitations

# So, what have we learned?

- ▶ Today: a close investigation of *wh*-in-situ and intervention effects.
  - *Wh*-in-situ is susceptible to intervention effects
  - . . . when something takes scope above it via movement
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We require **some movement**, but **not to interrogative Spec,CP**.

Covert movement is driven not by the needs of a probe, but by the need to achieve a convergent derivation (cf free Merge, Chomsky 2004).

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Covert movement doesn't (have to) target interrogative C.

Is covert *wh*-movement successive-cyclic? Maybe not.

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# Successive cyclic movement and reconstruction

- ▶ Intermediate landing sites of successive-cyclic movement behave differently than the final landing site of movement.

They cannot “count” for intervention!

(53) *Which book* did Jill think that [<sub>CP</sub> *which kid* read *t* ]?

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# Scope-taking and the shape of grammar

- ▶ Two scope-taking mechanisms: movement, focus alternatives

They fail to compose in one particular way, leading to intervention:

(10) **Kotek (2017b) intervention schema** (repeated)

\* LF: [CP C ... DP  $\lambda x$  ... *wh* ... *x* ]

Grammar is very resilient, able to avoid this in a variety of ways (overt/covert scrambling, reconstruction, RNR, QR, extraposition).

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The diagram illustrates the intervention schema. It shows a sequence of elements in brackets: [CP C ... DP  $\lambda x$  ... *wh* ... **x** ]. A wavy arrow starts under the  $\lambda x$  and points left towards the C. A red line starts under the  $\lambda x$  and points right towards the **x**. A small red arrow points up at the start of the red line.

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- ▶ Intervention is a logical property of UG.

There is no independent theory of intervention.

Advantageous from the viewpoint of acquisition and variation:

- A universal description for intervention.
- Learners must discover scope-taking properties of individual quantifiers.
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# Additional predictions

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Phases, modals, types, subjects, negation, focus association, *Exh*, QR, top-down vs bottom-up structure building, ...

... which you can ask me about in the Q&A.

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## Thank you! Questions?

For questions, comments, and discussion, I would like to thank David Pesetsky, Danny Fox, Irene Heim, Martin Hackl, Michael Yoshitaka Erlewine, Bob Frank, Jim Wood, Raffaella Zanuttini, participants of the NYU seminar on *wh*-constructions cross-linguistically—in particular Lucas Champollion, Chris Collins, and Anna Szabolsci—as well as audiences at MIT, McGill, Yale, Leiden University, UCLA, Rutgers, GLOW 38, NELS 47, LENLS 2017, the 2017 Amsterdam Colloquium, the workshop on Recent Issues in the Syntax of Questions in Konstanz, and the Road Ahead conference in Greece. Errors are mine.

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The focused constituent in the sentence is formally **F-marked** (Jackendoff 1972).

(55) [Mary]<sub>F</sub> came ⇒ “MARY came.”

**Alternatives** to Mary (John, Sue, Bill) correspond to alternatives at the proposition level (John came, Sue came, Bill came).

**Focus-sensitive operators** quantify over these alternatives:

- (56) a. **Only** [Mary]<sub>F</sub> came.  
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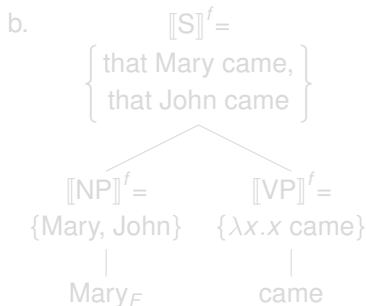
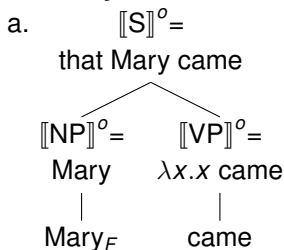
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# Alternative computation

Sentences are interpreted in a multi-dimensional system: Each node has an *ordinary value*  $[[\cdot]]^o$  & *focus value*  $[[\cdot]]^f$  (Rooth 1985, a.o.).

The focus-semantic value is the set of *alternatives* for a node. Nodes compose through **pointwise Function Application**.

(57) **Ordinary and alternative values for “[Mary]<sub>F</sub> came”:**

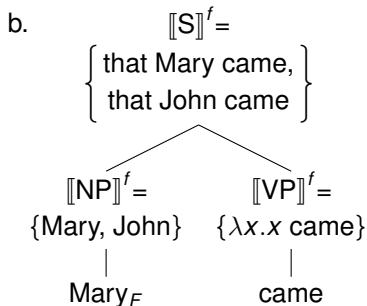
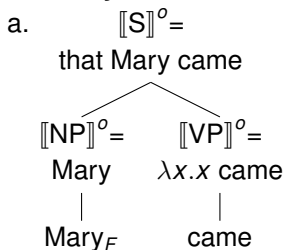


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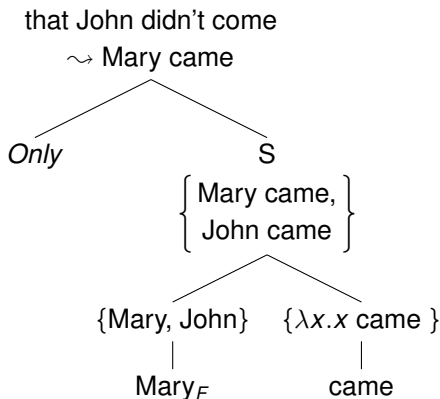
(57) **Ordinary and alternative values for “[Mary]<sub>F</sub> came”:**



# Alternative computation

Operators such as **only** operate on alternative values:

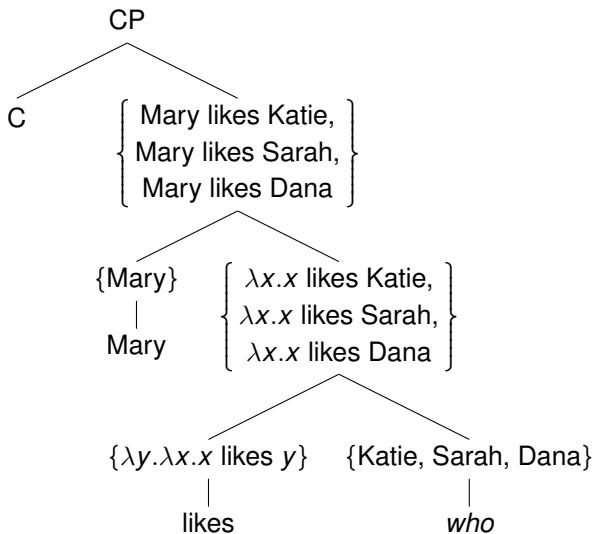
(58) **Only** [Mary]<sub>F</sub> came.



# Alternative computation

Questions likewise can use Rooth-Hamblin alternatives:

(59)



# Non-intervention through reconstruction

Consider the collective vs distributive interpretation of subjects:

- (60) [Gakusei **zen'in**]-ga LGB-o ka-tta.  
student all-NOM LGB-ACC buy-PAST
- a. 'All the students together bought a copy of LGB.' collective
  - b. 'All the students each bought a copy of LGB.' distributive

Distributive interpretation requires scoping out of the event description (vP).

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Distributive interpretation requires scoping out of the event description ( $vP$ ).

# Non-intervention through reconstruction

- (61) [Gakusei **zen'in**]-ga *dono hon-o* ka-tta-no?  
student all-NOM which book-ACC buy-PAST-Q
- a. ✓ 'Which book(s) did the st's all buy together?' collective
- b. \* 'Which book(s) did the students all individually buy?'  
(and they each bought other books too) distributive



# Non-intervention by scoping out

- ▶ A “non-intervening” quantifier could “scope out” of the question.

(61) also has a *pair-list* reading, made salient by embedding:

(62) Sensei-wa [[gakusei **zen'in**]-ga dono hon-o ka-tta-ka]  
teacher-TOP student all-NOM which book-ACC buy-PAST-Q  
shiri-tai.  
know-want  
'The teacher wants to know...

- a. ✓ [which book(s) the students bought all together].' collective
- b. \* [which book(s) the students bought individually].' distributive
- c. ✓ [for each student<sub>*i*</sub>, which book(s) they<sub>*i*</sub> bought].' pair-list

The pair-list reading can be derived by scoping the universal quantifier out of the question (see e.g. Karttunen and Peters 1980, Comorovski 1989, 1996).

# Intervention in *wh*-movement languages

German also uses **Quantifier Raising** to rescue intervention configurations:

- (63) a. *Wen* hat **jeder Junge** *wann* beobachtet?  
who has every boy when observed
- b. *Wen* hat *wann* **jeder Junge** beobachtet?  
who has when every boy observed

- (64) **Only wide scope reading attested for (63a);  
Both readings attested for (63b):**

- a. 'For every boy, who did he observe when?' wide scope
- b. 'Who is s.t. every boy observed him when?' narrow scope

(Examples and paraphrases from Dayal 2016, p. 246)

# No intervention if intervener scopes out of Q

- ▶ Intervention rescued via **QR** of a potential intervener:

## (65) **Baseline: superiority-obeying question**

Tell me *which adult* **each kid** will try to persuade \_\_\_\_\_ to read *which book*. (Pesetsky 2000)

Two possible readings:

- 'For each kid, which adult will she try to persuade to read which book?'  $\forall > \textit{book-adult pairs}$
- 'What book-adult pairs are s.t. each kid will try to persuade the adult to read the book?'  $\textit{book-adult pairs} > \forall$

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## (66) Test case: superiority-violating question

Tell me *which book* **each kid** will try to persuade *which adult* to read \_\_\_\_\_. (Pesetsky 2000)

Only one reading attested, through QR:

- a. 'For each kid, which adult will she try to persuade to read which book?'  $\forall > \text{book-adult pairs}$
- b. \* 'What book-adult pairs are s.t. each kid will try to persuade the adult to read the book?'  
 $\text{book-adult pairs} > \forall$

- **Floating the quantifier fixes its scope**, preventing it from moving out of the way of the in-situ *wh*, leading to intervention.

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## No intervention if intervener scopes out of Q

(68) *Which newspaper did **everyone** write to \_\_\_\_\_ about which book?*

a. Wide-scope answering pattern:

Bill wrote to the New York Times about book X,  
Mary wrote to the Boston Globe about book Y, and  
Tom wrote to the Maquoketa Sentinel about book Z.

b. Narrow-scope answering pattern:

Everyone wrote to the New York Times about book X,  
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(69) *Which book did **everyone** write to which newspaper about \_\_\_\_\_?*

Only has answer pattern a, but not b. (Pesetsky 2000)

- *Every* must **scope out of the question** in superiority-violating questions, to avoid intervention.

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# Successive cyclic movement

**Prediction:** if there is no  $\lambda$ -binders in intermediate landing sites of movement, parasitic gaps should not be licensed (Nissenbaum 2000).

(70) **baselines:**

- a. ✓ *Which programmer* did you say solved *which bug* [before *pg* talking to her boss]?
- b. ✓ *Which programmer* did you say solved *which bug* [after *pg* hearing from her boss about *pg*]?
- c. \* *Which programmer* did you say solved *which bug* [after hearing about *pg*]?

(71) **Test case:**

- \* *Which bug* did you say that *which programmer* solved [after hearing about *pg*]?

Baseline: Multiple *wh*-questions with islands are grammatical.

(72) Context: The linguists at the conference are very suspicious of rumors. However, each of them believed the rumor that we invited one philosopher to the conference party. What I want to know is:

Q: *Which* linguist believed the rumor [that we invited *which* philisopher]?

A: ✓ Pair-list answer:  
Chomsky believed the rumor that we invited Quine,  
Bresnan believed the rumor that we invited Lewis,  
Kratzer believed the rumor that we invited Russell, ...

# Intervention with CNPC

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# Intervention with CNPC

Add interveners: here, sentential negation.

(73) Context: The linguists at the conference are very suspicious of rumors. However, each of them believed the rumor that we failed to invite one philosopher to the conference party. What I want to know is:

Q: *Which* linguist believed the rumor [that we **didn't** invite *which* philosopher]?

A: ✓ Pair-list answer:  
Chomsky believed the rumor that we didn't invite Quine,  
Bresnan believed the rumor that we didn't invite Lewis,  
Kratzer believed the rumor that we didn't invite Russell,  
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► Intervener inside the island is grammatical.

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► Intervener **inside** the island is **grammatical**.

# Intervention with CNPC

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- (74) Context: The linguists at the conference are very gullible and believe lots of rumors. However, each of them is suspicious of one rumor about a phil. that we supposedly invited to the conference party.

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Chomsky didn't believe the rumor that we invited Quine,

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- Intervener above the island causes an intervention effect.

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- Intervener **above** the island causes an **intervention effect**.



# Intervention is about in-situ computation

(75) **Williams' generalization (Williams 1974:ch. 4):**

When an adjunct  $\beta$  is extraposed from a “source NP”  $\alpha$ , the scope of  $\alpha$  is at least as high as the attachment site of  $\beta$  (the extraposition site).

(Informally: extraposition extends the scope of  $\alpha$  at least as high as  $\beta$ )

# Intervention is about in-situ computation

**Prediction:** No intervention effect if we are able to extrapose the island high, above the intervener.

(76) **Extraposition allows exceptional wide scope for in-situ *wh*:**

- a. ✓ *Which* linguist believed the rumor [that we **didn't** invite *which* philosopher]?
- b. \* *Which* linguist **didn't** believe the rumor [that we invited *which* philosopher]?
- c. ? *Which* linguist **didn't** believe the rumor yesterday [that we invited *which* philosopher]?

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- b. \* *Which* linguist **didn't** believe the rumor [that we invited *which* philosopher]?
- c. ? *Which* linguist **didn't** believe the rumor yesterday [that we invited *which* philosopher]?

- (77) **Non-bridge verbs are also an island for extraction:**
- a. \* *Which* linguist **didn't** shout [that we invited *which* philosopher]?
  - b. *Which* linguist shouted [that we **didn't** invite *which* philosopher]?

## Intervention with three *whs*

- (78) **Questions w/three *wh* exhibit intervention *above* but not *inside* island:**
- a. \* *Which* linguist **didn't** believe the rumor [that *which* student invited *which* philosopher]?
  - b. *Which* linguist believed the rumor [that *which* student **didn't** invite *which* philosopher]?

## Intervention with three *whs*

If two *wh*-phrases occur outside the island with the intervener and one is inside the island, we get a pairlist reading with a third triplet held constant:

(79) **Questions with three *wh*: pair-list reading for *whs* above island**

*Which* linguist **didn't** tell *which* philosopher about the rumor [that *which* student had won a dissertation prize]?

# Intervener in island causes intervention in German

- (80) ✓ *Welcher* Philosoph wird sich aergern wenn wir *welchen*  
which philosopher will self be upset if we which  
Linguisten einladen?  
linguist invite  
'Which philosopher will be offended if we invite which  
linguist?'
- (81) \* *Welcher* Philosoph wird sich aergern wenn **niemand**  
which philosopher will self be upset if no one  
*welchen* Linguisten einlaedt?  
which linguist invite  
'Which philosopher will be offended if no one invites which  
linguist?'



# Overt scrambling of apparent in-situ *wh* in German

German *wh*-in-situ involves overt short scrambling (Hallman 1997)

- (82) a. *Wer* hat denn (das Buch) gestern (das Buch) gelesen?  
who has *denn* (the book) yesterday (the book) read  
'Who read the book yesterday?'
- b. *Wer* hat denn (*was*) gestern (\**was*) gelesen?  
who has *denn* (what) yesterday (what) read  
'Who read what yesterday?'
- c. *Wer* hat denn (die Maria) gestern (die Maria) angerufen?  
who has *denn* (the Maria) yesterday (the Maria) called  
'Who called Maria yesterday?'
- d. *Wer* hat denn (*wen*) gestern (\**wen*) angerufen?  
who has *denn* (who) yesterday (who) called  
'Who called who yesterday?'

# Superiority-violating questions and extraposition

- (83) **ACD with adjunct and extraposition ameliorates intervention:**
- a. \* *Which toxin* did you say that you'd never spray *which spy* with?
  - b. ? *Which toxin* did you say that you'd never spray *which spy* with in a loud voice [who Mary also did say that ... in a whisper].
  - c. \* *Which toxin* did you claim to never have sprayed *which spy* with?
  - d. ? *Which toxin* did you claim to never have sprayed *which spy* with in a loud voice [who Mary also did claim that ... in a whisper].

From (Branan 2017)

# Superiority-violating questions and parasitic gaps

Context: The boys at summer camp were supposed to get one candy bar each. They could choose any of the three, so long as they weren't allergic to the candy bar they chose. Your job was to inform the parents of the campers of the ingredients of these candy bars. You did your job! I heard some great news: all of the boys were denied their choice of candy bar, since they were allergic to it. What I'm now wondering is:

- (84) a. *Which boy*<sub>1</sub> didn't they give *which candy bar*<sub>2</sub> to [because you told at least one parent of \_\_\_\_\_<sub>1</sub> about the ingredients in \_\_\_\_\_<sub>2</sub>]?
- b. *wh* > CAUS > NEG
- c. ✓ Pair-list answer:

It's because you told a parent of his about the ingredients in it that they didn't give Billy a Mars Bar;

It's because you told a parent of his about the ingredients in it that they didn't give Jimmy an Almond Joy;. . .

## A-movement chains and intervention

**Subjects of individual-level predicates must vacate vP** (Diesing 1992). Hence, the subject can't reconstruct and we observe intervention:

- (85) a. ✓ *Which person are **counselors** available to discuss which issue with \_\_\_\_\_?* *stage-level*
- b. \* *Which person are **counselors** careful to discuss which issue with \_\_\_\_\_?* *individual-level*

cf plural *wh*-phrases lead to “plural” single-pair:

- (86) ✓ *Which people are **counselors** careful to discuss which issues with \_\_\_\_\_?*

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# Intervention in superiority-obeying questions

NPIs are licensed in downward entailing contexts:

- (87) a. Mary \*(**didn't**) read any books.  
b. *Which* boy {**didn't** give, \*gave} *which* girl any flowers?

**Prediction:** NPI inside a *wh*-phrase can't move out of the scope of negation. Negation is an intervener. **Expect intervention effects.**

- (88) a. ✓ *Which* boy **didn't** read *which* book about some president?  
b. \* *Which* boy **didn't** read *which* book about any president?



## Movement and intervention: Focus association

A focused item cannot move out of the scope of its associated operator:

- (89) a. \* Mary<sub>F</sub>, John **only** likes \_\_\_\_\_.  
Intended: 'As for Mary, John only likes her<sub>F</sub> (he doesn't like anyone else).'
- b. ✓ John **only** likes Mary<sub>F</sub>.
- (90) a. \* Who<sub>F</sub> do you **only** like \_\_\_\_\_?  
Intended: Who *x* is such that you like only *x*?
- b. ✓ You **only** like who<sub>F</sub>?

## Movement and intervention: Focus association

**Prediction:** Focus inside a *wh*-phrase can't move out of the scope of *only*. *Only* is an intervener. **Expect intervention effects.**

- (91) a. Baseline: I can tell you [*which* student read *which* book].
- b. Context: The students in the class were supposed to read one book *and* one article about syntax. However, everyone got confused and read one book *or* one article. I've been reading everyone's squibs. I've finished all the ones about books, so:
- \* I can tell you [*which* student **only** read *which* book<sub>F</sub> (about syntax)].



# Non-interveners and Argument Contained Ellipsis

(93) **Baselines (*obeying* and *violating*):**

- a. ✓ *Which boy* did you tell **someone** to introduce \_\_\_\_\_ to *which girl*?
- b. ✓ *Which girl* did you tell **someone** to introduce *which boy* to \_\_\_\_\_?

(94) **More elaborate baselines:**

- a. ✓ *Which boy* did you tell [**someone** who (really) shouldn't be here] to introduce \_\_\_\_\_ to *which girl*?
- b. ✓ *Which girl* did you tell [**someone** who (really) shouldn't be here] to introduce *which boy* to \_\_\_\_\_?

(95) **ACE test case:**

- a. ✓ *Which boy* did you tell [**someone** who (really) shouldn't  $\Delta$ ] to introduce \_\_\_\_\_ to *which girl*?
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# Non-interveners and Argument Contained Ellipsis

- (96) **This happens with other traditional non-interveners too:**
- a. ✓ *Which boy* did you tell [{**the, a, some**} **man** who (really) shouldn't be here] to introduce \_\_\_\_ to *which girl*?
  - b. ✓ *Which girl* did you tell [{**the, a, some**} **man** who (really) shouldn't be here] to introduce *which boy* to \_\_\_\_?
- (97)
- a. ✓ *Which boy* did you tell [{**the, a, some**} **man** who (really) shouldn't  $\Delta$ ] to introduce \_\_\_\_ to *which girl*?
  - b. \* *Which girl* did you tell [{**the, a, some**} **man** who (really) shouldn't  $\Delta$ ] to introduce *which boy* to \_\_\_\_?

- **ACE forces covert movement of an otherwise in-situ element.**

As a result, we observe intervention effects in superiority-violating Qs.