

Ellipsis Licensing in Sluicing: A QuD Account

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0 Introduction

Sluicing: clausal ellipsis in a Wh-question, leaving the Wh-phrase overt.

- (1) Sally called someone, but I don't know who [_{TP} Sally-called ~~t~~].

Some terminology:

- **Remnant:** any Wh-phrase left overt in sluicing.
- **Correlate:** (typically) an indefinite corresponding to the remnant.
- **Antecedent, sluice.**

Multiple sluicing: sluicing with more than one remnant.

- (2) Some boy likes some girl, but I don't know which boy which girl.²

- (2') Some boy danced with some girl, **видк** which boy which girl

- Seen as degraded, but “real phenomenon” in English (Lasnik, 2014)
- In our own investigation, we find:
 - Many find (2)–(2') unimpeachable, others wholly reject them.
 - Variation in acceptance of $\langle DP, DP \rangle$ sluices (2) vs. $\langle DP, PP \rangle$ sluices (2').
- We concentrate on $\langle DP, DP \rangle$ sluices.

Multiple sluicing with quantified antecedents:

- (3) Every boy likes some girl, **видк** which boy which girl.

The puzzle:

- How can quantified antecedents license sluicing?
- What are the restrictions on sluicing with quantified antecedents, and what do they teach us about ellipsis licensing more generally?

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²Henceforth we will occasionally use **видк** as short for “but I don't know” in examples in order to reduce redundancy.

1 Quantified antecedents and challenges to syntactic identity

1.1 Multiple sluicing in Russian

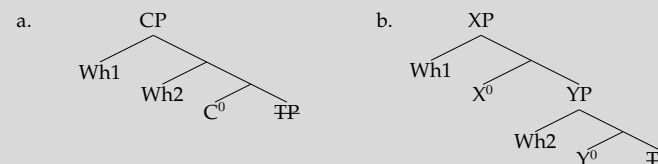
Perhaps unsurprisingly (as a multiple *wh*-fronting language), Russian allows multiple sluicing:

- (4) a. Kto-to kogo-to videl, no ja ne znaju, kto kogo.
someone someone saw but I not know who whom
'Someone saw someone, but I don't know who whom.' (Bailyn, 2012)
- b. Každyj priglasil kogo-to na tanec, no ja ne pomnju, kto kogo.
everyone invited someone to dance but I not remember who whom
'Everyone invited someone to dance, but I don't remember who invited whom to dance.' (Grebenyova, 2009)

Judgments appear much more robust than in English (Stjepanović 2003; Grebenyova 2009; Bailyn 2012; Scott 2012; Antonyuk 2015).

For concreteness, we'll assume a tucking-in (Richards, 1997) derivation, though what we say would be compatible with a (Rizzi, 1997) style articulated left periphery:³

(i) **Tucking-in (left) and articulated (right) left peripheries:**



A superiority effect in Russian Sluicing: Correlates must match remnants

- (5) a. Každyj priglasil kogo-to na tanec, no ja ne pomnju kto₁ kogo₂.
everyone invited someone to dance, but I not remember who whom
- b. *...no ja ne pomnju kogo₂ kto₁.
...but I not remember whom who
'Everyone invited someone to a dance, but I don't remember {who whom/ *whom who}.'
- c. A: Každogo_i kto-to priglasil t_i na tanec.
Everyone_{ACC} someone_{NOM} invited t_i to dance
B: {Kogo kto?/*Kto kogo}
{whom who?/*who whom}

(Grebenyova, 2009)

1.2 Syntactic Identity and “Super Quantifier Raising”

Grebenyova adopts the LF identity analysis in Fox and Lasnik (2003):

- Structural parallelism between elliptical clause and antecedent.
 - Variables contained in elliptical clause and antecedent are bound from parallel positions.
- (6) **LFs for unscrambled antecedent and superiority obeying sluice:**
- a. $\forall x \exists y [x \text{ invited } y \text{ to dance}]$ antecedent in (5a,b)
b. $\text{who}_x \text{ whom}_y [x \text{ invited } y \text{ to dance}]$ (Wh1 > Wh2) sluice in (5a)
- (7) **LFs for scrambled antecedent and superiority violating sluice:**
- a. $\forall y \exists x [x \text{ invited } y \text{ to dance}]$ antecedent in (5c)
b. $\text{whom}_y \text{ who}_x [x \text{ invited } y \text{ to dance}]$ (Wh2 > Wh1) sluice in (5b,c)

Seemingly good result:

- ✓ Unacceptability of superiority mismatches between remnants and correlates (5a vs 5b)
- ✓ Scrambling data (5c)

But!

Grebenyova 2009, most other work: All Wh-phrases in sluicing are outside elided category, TP. For quantifiers in antecedent to bind vbls from parallel positions, they must also be outside TP

☞ Requires exceptionally high QR of universal to left periphery. Call this Super-QR.

Parallelism obtained via Super-QR, \exists -closure of indefinite from outside TP:

- (8) a. $[_{CP} \text{everyone}_x \exists y [_{TP_A} x \text{ invited } y \text{ to dance}]]$ antecedent
b. $[_{CP} \text{who}_x \text{whom}_y [_{TP_E} x \text{ invited } y \text{ to dance}]]$ sluice

But, Super-QR ruled out by Scope Economy considerations (Fox, 2000).

- (9) Some boy likes every teacher, and Mary does ~~like every teacher~~ too. ($*\forall > \exists$)
- Super-QR of *every teacher* (above Mary) is ruled out in the sluice because it doesn't lead to a new scope relation compared to shorter QR (below Mary).
 - Inverse scope in the antecedent is ruled out because of parallelism, even though in the antecedent there *would* be a new scope relation.

☞ We need to have Super-QR for (5), and we need to not have it for (9).

See Appendix 1 for an attempt to save Super-QR and why it fails, and Appendix 2 for a second problem with LF-identity.

2 Proposal: a QuD account

2.1 The basic idea

Questions under Discussion (QuDs): semantico-pragmatic objects — salient Q meanings in a discourse with interrogative force (Roberts, 2012). They:

- shape the information exchange, as interlocutors address the QuD.
- may be made salient implicitly or explicitly (e.g., by asking a direct question).

QuD-equivalence approaches to sluicing appeal to the intuition that assertions with indefinites and disjunctions make certain QuDs salient (AnderBois, 2011).

- *Sally is dating someone* \Leftrightarrow *who is Sally dating?*
- *Sally is dating either Mary or Bill* \Leftrightarrow *which of the two is Sally dating?*

(10) Indefinites and disjunctions serve as natural correlates:

- a. Sally is dating someone, ~~WDK~~ *who Sally is dating.*
b. Sally is dating either Mary or Bill, ~~WDK~~ *which one Sally is dating.*

QuD-equivalence approaches require sluiced Qs to be congruent to the QuD raised by antecedent.

- Congruence = identity (Roberts, 2012); semantic identity satisfied iff $[[\text{QuD}]] = [[\text{Sluiced Q}]]$.

We adopt a standard Hamblin/Karttunen semantics for questions, where they denote the set of possible answers to the question.

- A question like *Who is Sally dating?* denotes { that Sally is dating Mary, that Sally is dating Bill } (in a small toy model).
- A quantified statement *Every girl is dating someone* raises the QuD *Who is each girl dating?*: { *Who is Sally dating?*, *Who is Mary dating?* } — a set of questions sorted by *girls*.

Recall Grebenyova's motivation for her LF-identity account of Russian multiple sluicing:

☞ Russian multiple questions are insensitive to superiority, but remnants in sluiced questions must match superiority of correlates (5a–b).

Our proposal: Superiority in multiple Wh-questions has consequences for question meaning (Comorovski 1989; Dayal 1996, 2002; Fox 2012; Kotek 2014, a.o.). Hence, the antecedent in (5a) raises a distinct QuD from the sluice in (5b); QuD-equivalence is not met.

2.2 The interpretation of PL multiple questions and QuD-equivalence

Multiple questions can have both single-pair and pair-list answers:

- (11) Which boy likes which girl?
 a. Mark likes Sarah. single-pair
 b. Mark likes Sarah, and Bill likes Maria. pair-list

Under the PL interpretation, multiple questions have two presuppositions, referencing the **higher** *wh*-phrase—the sorting key (Comorovski 1989; Dayal 1996, 2002; Fox 2012; Kotek 2014, a.o.).

- (12) Exhaustivity: Every member of the higher *Wh*-phrase's restriction is paired with a member of the lower *Wh*-phrase's restriction.
 a. Guess which one of these 3 kids will sit on which of these 4 chairs.
 (Good with a single-pair answer and with a pair-list answer.)
 b. Guess which one of these 4 kids will sit on which of these 3 chairs.
 (Only good with a single-pair answer.) (Fox 2012)
- (13) Uniqueness (functionhood): No member of the higher *Wh*-phrase's restriction may be paired with more than one member of the lower *Wh*-phrase's restriction.
 a. I wonder which one of the 3 boys will do which one of the 3 chores.
 b. # I wonder which one of the 3 boys will do which one of the 4 chores.
 (Suggests that the boys will not do all of the chores.) (Fox 2012)

A contrast in English multiple sluicing:

- (14) a. Every boy likes some girl, BIDK which boy which girl.
 b. *Some boy likes every girl, BIDK which boy which girl.

Unlike Russian, English allows inverse scope, yet sluicing with an inverse scope antecedent is not possible.

- (15) Every boy likes some girl antecedent in (14a)
QuD: For each boy, which girl does he like?
 (16) Which boy likes which girl? sluice in (14a–b)

Both the sluice and the QuD are sorted by *boys*.

- (17) **QuD and sluice have identical meanings, sorted by boys:**
 { which girl does b_1 like?, which girl does b_2 like? }
 \Leftrightarrow { { b_1 likes g_1 , b_1 likes g_2 }, { b_2 likes g_1 , b_2 likes g_2 } }
- (18) Some boy likes every girl antecedent in (14b)
QuD: For each girl, which boy likes her?
- (19) **QuD meaning in (14b), sorted by girls (\neq sluice in (14b)):**
 { which boy likes g_1 ?, which boy likes g_2 ? }
 \Leftrightarrow { { b_1 likes g_1 , b_2 likes g_1 }, { b_1 likes g_2 , b_2 likes g_2 } }

☞ The QuD-equivalence approach captures the English paradigm.

- See Appendix 3 for an illustration of how the proposal captures the parallel Russian data.

We achieve sensitivity to syntactic structure in a manner similar to LF/Syntactic identity approaches, without the pitfalls of those approaches.

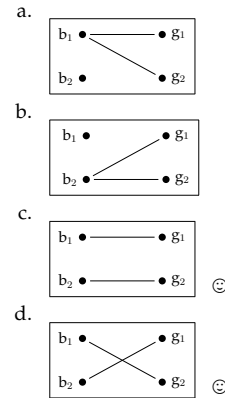
3 Context and accommodation in ellipsis licensing

Notice that the pre sluice (20), which is perfectly acceptable even to speakers who find sluicing in (14b) strongly unacceptable.

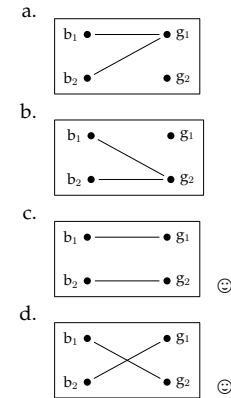
- (20) ✓ Some boy likes every girl, BIDK which boy likes which girl.

- The QuD made salient by the antecedent is sorted by *girls*.
- The continuation in (20) (and sluice in (14b)) is sorted by *boys*.

(21) Contexts satisfying QuD's presuppositions in (20):



(22) Contexts satisfying multiple-Q's presuppositions in (20):



Only bijective contexts like (c) and (d) satisfy the presuppositions of both QuD and continuation.

Proposal: in the absence of sluicing, QuD-equivalence is irrelevant; speakers *accommodate* that only bijective contexts are possible, (20). With sluicing (14b), even with accommodation, the meanings of the antecedent's QuD and the multiple *Wh*-question are distinct:

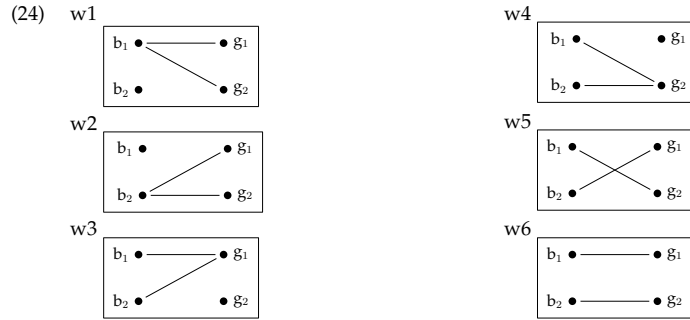
- (23) a. **[QuD (some boy likes every girl)]** = \neq (23b)
 { which boy likes g_1 ?, which boy likes g_2 ? }
 \Leftrightarrow { { b_1 likes g_1 , b_2 likes g_1 }, { b_1 likes g_2 , b_2 likes g_2 } }
- b. **[which boy likes which girl?]** = \neq (23a)
 { which girl does b_1 like?, which girl does b_2 like? }
 \Leftrightarrow { { b_1 likes g_1 , b_1 likes g_2 }, { b_2 likes g_1 , b_2 likes g_2 } }

Accommodation involves removing from consideration those contexts where the presuppositions of either question are not met.

This “pruning” will result in equivalence.

- But, costly and subject to speaker variation.
- Explaining the subtlety of judgments.

We illustrate with a more fine-grained representation for propositions, as sets of worlds.⁴



Only w5 and w6 will survive pruning.

(25) **Unpruned QuD and multiple Q meanings: equivalence not met**

- a. $\llbracket \text{QuD} \rrbracket =$ \neq (25b)
 { which boy likes g_1 ?, which boy likes g_2 ? }
 $\Leftrightarrow \{ \{ b_1 \text{ likes } g_1, b_2 \text{ likes } g_1 \}, \{ b_1 \text{ likes } g_2, b_2 \text{ likes } g_2 \} \}$
 $\Leftrightarrow \{ \{ w1, w3, w6 \}, \{ w2, w3, w5 \}, \{ w1, w4, w5 \}, \{ w2, w4, w6 \} \}$
- b. $\llbracket \text{which boy likes which girl?} \rrbracket =$ \neq (25a)
 { which girl does b_1 like?, which girl does b_2 like? }
 $\Leftrightarrow \{ \{ b_1 \text{ likes } g_1, b_1 \text{ likes } g_2 \}, \{ b_2 \text{ likes } g_1, b_2 \text{ likes } g_2 \} \}$
 $\Leftrightarrow \{ \{ w1, w3, w6 \}, \{ w1, w4, w5 \}, \{ w2, w3, w5 \}, \{ w2, w4, w6 \} \}$

(26) **Pruned QuD and multiple Q meanings: equivalence met**

- a. $\llbracket \text{QuD} \rrbracket =$ = (26b)
 { which boy likes g_1 ?, which boy likes g_2 ? }
 $\Leftrightarrow \{ \{ b_1 \text{ likes } g_1, b_2 \text{ likes } g_1 \}, \{ b_1 \text{ likes } g_2, b_2 \text{ likes } g_2 \} \}$
 $\Leftrightarrow \{ \{ w6 \}, \{ w5 \} \}, \{ \{ w5 \}, \{ w6 \} \}$
- b. $\llbracket \text{which boy likes which girl?} \rrbracket =$ = (26a)
 { which girl does b_1 like?, which girl does b_2 like? }
 $\Leftrightarrow \{ \{ b_1 \text{ likes } g_1, b_1 \text{ likes } g_2 \}, \{ b_2 \text{ likes } g_1, b_2 \text{ likes } g_2 \} \}$
 $\Leftrightarrow \{ \{ w6 \}, \{ w5 \} \}, \{ \{ w5 \}, \{ w6 \} \}$

☞ See appendix 4 for implicature accommodation in QuD calculation.

⁴We set aside worlds where neither question’s presuppositions are met (for instance worlds where the *like* relation is empty, or consists of only one pair). We also leave out imaginable pairings irrelevant to the interpretations of the examples under consideration here (involving e.g., mappings from boys to boys, girls to girls, or of individuals to themselves.)

4 Conclusion

- The availability of multiple sluicing with quantified antecedents is surprising.
- LF-identity accounts fall short, as they require Super-QR.
- QuD-equivalence is able to model the superiority facts, inverse scope restrictions, and the contribution of context.
- ☞ **Both the semantics and the pragmatics of the antecedent matter** for the purposes of ellipsis licensing.
- This explains a complex set of judgments in Russian and English, and contributes to our understanding of ellipsis licensing more generally.⁵

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⁵It is worth noting that our results here do not argue against hybrid identity approaches that adopt QuD-equivalence alongside a sufficiently “limited” syntactic identity condition. (See Chung 2013; Barros 2014; Lipták 2015 for discussion of how this might be achieved, and AnderBois 2011; Weir 2014 for specific implementations.) Such proposals have the benefit of not requiring wholesale syntactic or LF identity between elided phrase markers and their antecedents, avoiding many of the pitfalls we discuss for such approaches here.

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Appendix 1: A failed attempt to rescue Super-QR

An attempt to rescue Super-QR might go along the following lines:

- (27) a. Instead of covert long-distance QR (perhaps unavailable)⁶
 b. Assume (independently available) string-vacuous overt scrambling of \forall -subject to left periphery.
 c. \Rightarrow (5a) predicted good using only available operations.

Grebenyova reports on a variety of Russian that is strictly surface scope; covert scope-taking operations are unavailable.

We also find speakers who accept inverse scope (cf Antonyuk 2015; Ionin and Luchkina 2015). This will allow us to show that (27) won't work.

For some speakers, inverse scope readings are available:

- (28) ? *Kakoj-to malčik ljubit každuju devočku.*
 Some boy likes every girl
 'For each girl, there is some boy that likes her.' $\forall > \exists$

For those speakers, we expect — and observe — that sluicing is possible with superiority-violating word orders.

- (29) ? *Kakuju devočku kakoj malčik?*
 which girl which boy
 'Which girl does which boy like?'

☞ Here string-vacuous overt scrambling isn't an option. Super-QR is needed to yield inverse scope in the antecedent.

- We are back to our original problem: we need Super-QR to license sluicing in Russian, but we need to rule it out to account for sluicing facts in English (Scope Economy).

⁶See Wurbrand 2017 for a discussion of the locality of QR and some exceptions that allow for long-distance QR, in particular in cases involving Antecedent Contained Deletion. These exceptional cases are distinct from the one we study here.

Appendix 2: Truth conditional mutual entailment undergenerates

Focus-theoretic implementation of semantic identity in ellipsis:

- (30) **Focus Condition on Ellipsis (FCE, Merchant 2001)**
 a. A constituent E can be deleted iff E is e-GIVEN.
 b. An expression counts as e-GIVEN iff E has a salient antecedent A and, modulo \exists -type shifting,
 i. A entails the Focus closure of E (written F-clo(E)), and
 ii. E entails F-clo(A)
 c. F-clo(α) is the result of replacing F-marked parts of α with \exists -bound variables.

This works in simple cases of sluicing:

- (31) [_{TP_A} Someone left], but I don't know who [_{TP_E} ~~left~~].
 $TP_A = \text{F-clo}(TP_A) = \exists x[\text{human}(x) \ \& \ \text{left}(x)]$
 $TP_E = \text{F-clo}(TP_E) = \exists x[\text{human}(x) \ \& \ \text{left}(x)]$

F-clo(TP_E) entails TP_A , and F-clo(TP_A) entails TP_E , satisfying the FCE. (Assuming Wh-traces are \exists -bound variables (cf Schwarzschild 1999).)

The FCE can also account for single-pair multiple sluices:

- (32) [_{TP_A} Some boy likes some girl], *VIDK* which boy_i which girl_j [_{TP_E} ~~likes~~].
 $TP_A = \text{F-clo}(TP_A) = \exists x \exists y[\text{boy}(x) \ \& \ \text{girl}(y) \ \& \ \text{likes}(x, y)]$
 $TP_E = \text{F-clo}(TP_E) = \exists x \exists y[\text{boy}(x) \ \& \ \text{girl}(y) \ \& \ \text{likes}(x, y)]$

However, antecedent/sluice pairs in PL multiple sluices in Russian fail to be mutually entailing with their quantified antecedents.

- (4b) *Každyj priglasil kogo-to na tanec, NJNF kto kogo.*
 everyone invited someone to dance *VIDK* who whom
 'Everyone invited someone to dance, but I don't remember who invited whom to dance.'
 (Grebenyova 2009)

The FCE incorrectly predicts PL multiple sluicing to be ruled out:⁷

- (33) a. [_{TP_A} Everyone invited someone to dance] ($\forall > \exists$)
 $TP_A = \text{F-clo}(TP_A) = \forall x[\text{person}(x) \rightarrow \exists y[\text{person}(y) \ \& \ \text{invited-to-dance}(x, y)]]$
 b. ...*VIDK* who_i whom_j [_{TP_E} ~~invited to dance~~]
 $TP_E = \text{F-clo}(TP_E) = \exists x \exists y[\text{person}(x) \ \& \ \text{person}(y) \ \& \ \text{invited-to-dance}(x, y)]$

⁷This adds to existing arguments against a characterization of the semantic identity condition on sluicing in terms of truth conditional mutual entailment. The general complaint in the literature about the FCE is that it *over-generates* ellipsis in certain contexts. See Hartman 2009 for discussion of FCE-overgeneration in VP ellipsis, AnderBois 2011, 2014; Barros 2014 for sluicing, and Weir 2014 for fragment answers.

Appendix 3: accounting for the Russian data

Recall our Russian examples (5a–b) and the scrambled (5c):

(34) **Superiority in Russian Sluicing: Correlates must match remnants**

Každyj priglasil kogo-to na tanec, no ja ne pomnju
 everyone invited someone to dance, but I not remember

- a. ✓ kto₁ kogo₂, b. *kogo₂ kto₁.
 who whom whom who

'Everyone invited someone to a dance, \forall {who whom/*whom who.}'

(35) A: Každygo_i kto-to priglasil t_i na tanec.
 Everyone_{acc} someone_{nom} invited to dance

B: {Kogo kto?/*Kto kogo}
 {whom who?/*who whom}

Generalization: the universally quantified correlate in the antecedent contributes the sorting key for the QuD.

Superiority-obeying and violating questions have different meanings:

(36) **Sluice in (34a), who whom (invited), sorted by inviters:**

{ which invitee did v_1 invite?, which invitee did v_2 invite? }
 \Leftrightarrow { { v_1 invited i_1 , v_1 invited i_2 }, { v_2 invited i_1 , v_2 invited i_2 } }

(= antecedent's QuD in (34a))

(37) **Sluice in (34b), whom who (invited), sorted by invitees:**

{ which inviter invited i_1 ?, which inviter invited i_2 ? }
 \Leftrightarrow { { v_1 invited i_1 , v_2 invited i_1 }, { v_1 invited i_2 , v_2 invited i_2 } }

(= Q meaning for sluice in (34b), \neq antecedent's QuD in (34a))

Appendix 4: How and when QuDs are calculated

We've seen that context matters in the computation of the QuD. Next we'll show that the implicatures of the antecedent also play a crucial role in determining the QuD.

Puzzle: The antecedent of (14a) may be true in a context where the uniqueness ps of the QuD needed to license sluicing is not met.⁸

(38) Context: Every boy likes two girls.

- a. Every boy likes some girl true under $\forall > \exists$
 b. # Every boy likes some girl, \forall which boy which girl.

The sluiced question's uniqueness presupposition requires that for every boy, there is *exactly one* girl that he likes. The context explicitly contradicts this presupposition, thus blocking the QuD *which boy likes which girl?*, needed to license the sluice in (38b).

The context in (38b) *does* allow multiple sluicing — as well as a presluiced continuation — when each boy is mapped to a *group* of two girls:⁹

(39) Every boy likes two girls, \forall which boy (likes) which (two) girls.

Proposal: the singular *some girl* gives rise to an implicature of *exactly one girl*.

This, in turn, gives rise to the QuD *Which boy likes which girl?*, which licenses the sluice in (14a).

This strengthened meaning is the result of a silent EXH operator operating on the antecedent (Sauerland, 2001; Spector, 2007; Fox, 2007, 2009; Chierchia et al., 2012, a.o.).¹⁰

If exhaustification is obligatory whenever possible, this would block potential QuDs such as *Which boy likes which girls?* from being accessible. As predicted from this proposal, the examples below — with sluices that would be licensed by a non-exhaustified QuDs — are ruled out:

- (40) a. * Every boy likes some girl, \forall which boy which girls.
 b. * Every boy likes some girl, \forall which boy which girl or which girls.

Note, exhaustification of antecedent takes place independently of sluicing:

- (41) Every boy likes some girl, \forall which boy likes which girl.
 Felicitous in a context in which each boy likes exactly one girl.
 Infelicitous in a context in which some boys like more than one girl.

☞ **The truth conditions, the context, and the scalar implicatures associated with the antecedent all matter for QuD equivalence!**

⁸Our native Russian speaking consultants report the same intuition in Russian for all the examples in this section.

⁹Similar considerations apply to the antecedent in (14b): *Some boy likes every girl* is true when for each girl, there is *at least* one boy that likes her. Nonetheless, the intuitive QuD for (14b) is *for each girl, which boy likes her?*, requiring a context where exactly one boy likes each girl.

¹⁰Alternatively, the implicature may be calculated via appeal to (neo-)Gricean reasoning.